August 5, 2013

Dear Faculty Colleague:

I am delighted to welcome you to the University of Colorado Denver. I hope that your years with us will be filled with rewarding and successful teaching, research/creative work, and service to the university and your profession.

My colleagues and I in the Provost’s Office and in particular the Office of the Associate Vice Chancellor for Academic Affairs are pleased to provide you with Strategies for Success. This manual is designed to be a virtual mentor, providing advice and guidance as you pursue your career here, develop your skills as a teacher and researcher, provide service to various communities, and prepare yourself to be considered for reappointment, tenure, promotion, and leadership roles in the university.

Strategies for Success includes: (1) sections on teaching, research and creative work, and service; (2) an overview of the retention, tenure, and promotion (RTP) review process at all levels; and (3) appendices that contain materials to help you organize and prepare a faculty dossier. It is our hope that the information in Strategies will be useful throughout your faculty career.

This office has published Strategies for Success for many years. This year’s edition was updated by Donna Sobel, Acting Director for the Center for Faculty Development (CFD) and Laura Goodwin, Associate Vice Chancellor for Academic Affairs. Please contact the CFD, your department chair, associate dean, or dean about the material provided here or about any questions you might have about your faculty career at CU Denver.

I look forward to meeting and getting to know you and extend my very best wishes for an enjoyable and successful future at our university.

Sincerely,

Roderick Nairn, Ph.D.
Provost, University of Colorado Denver | Anschutz Medical Campus
Strategies for Success: A Mentoring Manual For Tenure-Track Faculty

Professional Development and Preparation for Reappointment, Tenure and Promotion

I. Teaching
   Teaching is Fundamental

II. Research and Creative Work
   A. What is Research? What is Creative Work?
   B. Developing an Agenda
   C. Maintaining and Sustaining Research
   D. Maintaining and Sustaining Creative Work
   E. Preparing for Publication, Performance, or Exhibition
   F. Obtaining Financial Resources to Support Your Research/Creative Work
   G. Internal Support for Research/Creative Work at CU Denver
      1. Services and resources available through the Center for Faculty Development (CFD)
      2. Support for preparing and submitting grants from the Office of Research
   H. Relationship Building: Increasing the Visibility of Your Work
      1. Within the primary unit
      2. Within the CU Denver and University of Colorado communities
      3. Within a national and international network

III. Service
   A. University Service
   B. Public Service

IV. Keeping Records of Your Activities and Accomplishments
   A. Teaching
   B. Research /Creative Work
   C. University and Public Service

V. Summary of Advice

The RTP Review Process

VI. Stages of the RTP Review Process
   A. Pre-Tenure
      1. Initial Appointment
      2. Professional Plan
      3. Annual Merit Reviews
      4. Comprehensive Review Process
   B. Dossier
   C. Tenure and Beyond
      1. Tenure Review
      2. Promotion Review
      3. Post-Tenure Review

VII. Levels of the RTP Review Process
   A. Primary Unit Review
   B. First Level Review
   C. Second Level
   D. Third Level Review
   E. Final Decision

Appendices
Appendix A: Syllabus Policy and Syllabus Template
Appendix B: Faculty Guide to Working with Distressed Students
Appendix C: Faculty Guide to Managing Disruptive Behavior in the Classroom
Appendix D: Scholarship of Teaching and Learning (SoTL)
Appendix E: External Funding Opportunities and Services of ORDE
Appendix F: Curriculum Vitae Format
Appendix G: Sample Summary Table of Course Taught and FCQ Ratings
Appendix H: Dossier Preparation and Candidate Responsibilities
Appendix I: Dossier Checklists (including Auraria Library Dossier Checklist)
Appendix J: University of Colorado Faculty Handbook and Other Important Links
Professional Development and Preparation for Reappointment, Tenure, and Promotion

Editor's Note: The following sections discuss the three necessary ingredients--teaching, research/creative work, and service--in a successful comprehensive review and a successful tenure and promotion decision. These sections offer practical suggestions for excelling in each of these areas.

Teaching

Teaching is Fundamental

The student body at the University of Colorado Denver is very diverse. We have traditional as well as non-traditional and first-generation undergraduate students. We have a relatively large proportion of graduate students. (The fall 2012 headcount enrollment totaled 14,325 students; of these, 9,771 were undergraduate students and 4,554 were graduate students.) Many of the students are the same age or older than their faculty instructors. Many are working professionals with college degrees. Our students demand high quality instruction, and CU Denver takes great pride in delivering it.

Demonstration of successful teaching is essential for reappointment, tenure, and promotion, at the Denver Campus. Demonstration of proficiency as a teacher requires a high degree of planning, development, and documentation that is similar to demonstration of successful research and creative achievements. The director and faculty fellows of the Center for Faculty Development (CFD), the tenure-track mentors, department chairs or division coordinators, and colleagues are all available to assist you to become a successful teacher and to document successful teaching for review purposes.

Teaching excellence may be defined not only by the interactions between teacher and students in the classroom but also by the many ways we engage in teaching. Activities related to instruction (e.g., course design, developing activities and assignments, creating learning assessments, grading/assessing student work), program design/enhancement, student advising and mentoring (e.g., faculty advisor for student clubs, fostering student professional development), personal professional development, and conducting research on pedagogy taken together can demonstrate such excellence. No one category is sufficient; excellence is demonstrated by the breadth and depth of teaching-related activities.

Proper documentation is essential to the demonstration of successful teaching. Although the temptation may be to rely first and foremost on student evaluations, the university requires that primary units use multiple means of evaluation. Candidates who keep careful records make this process easier. Candidates should also review primary unit criteria and familiarize themselves with their primary unit’s expectations for "meritorious" and "excellent" teaching. Conversations with your department chair, division coordinator, or the director of the CFD about standards and criteria may also be helpful.

Ways to demonstrate and document teaching excellence include a brief abstract (250 words maximum) for each course, which can be very useful to you, to students, and to colleagues. The abstract should specify (1) the purpose of the course, (2) what you want students to know after completing the course, (3) what primary methods you use to teach students, (4) how you will assess what students learn, and (5) how you will evaluate your own success in meeting these goals. While this exercise does not take the place of a syllabus, (see Appendix A: Syllabus Policy and Template), it encourages you to formulate and articulate your philosophy of teaching and to address the essence of what review committees need to know and may ask about the courses you have taught.

Faculty at the University of Colorado are required to use a common form to survey student satisfaction with teaching and learning in each course. At the present time, the Denver Campus uses the University of Colorado Faculty Course Questionnaire (FCQ). A summary table of courses taught and student ratings from the FCQs (see Appendix G) must be included in the dossier that you prepare for your reappointment, tenure, and
promotion (RTP) reviews. The actual evaluations forms must be included in a supplementary binder submitted with the dossier. Also helpful to include in the dossier are the results of value-added assessments to validate content learning, and reviews from your chair or from colleagues who have substantial first-hand knowledge of your teaching. For assistance in designing value-added assessment instruments for the courses you teach, contact the director of Assessment, Dr. Kenneth Wolf, at 303-315-3034 (or via email at: kenneth.wolf@ucdenver.edu).

To provide colleagues with the opportunity to become familiar with your teaching, you may want to give a colloquium to display your lecturing abilities, give guest lectures in your colleagues’ classes, or ask colleagues to do a classroom observation and peer review of your teaching. At least two years prior to your comprehensive review and your tenure review, begin to request that your colleagues to visit your classes. Begun early, peer reviews included in your dossier will document a history of your efforts to improve and enhance your teaching by seeking out the feedback and advice of faculty colleagues and mentors.

If your initial course and instructor evaluations reveal areas of your teaching that you would like to improve, contact the acting director of the Center for Faculty Development (CFD), Dr. Donna Sobel, at 303-315-3030 (or via email: donna.sobel@ucdenver.edu). Dr. Sobel can help you interpret the evaluation results, discuss strategies for improving your teaching and can pair you with a teaching mentor if that seems desirable. Other strategies that can help you explore ways to enhance your teaching include consulting with colleagues known to be good teachers and observing their class sessions; faculty distinguished as the President’s Teaching Scholars, faculty fellows from the CFD, and recipients of the Denver Campus Teaching Excellence awards. You may also wish to take advantage of the books about teaching and learning found in the CFD library. Your committed efforts to improve your teaching will speak positively for you, especially if your FCQ evaluations improve.

Teaching does not end in the classroom. Research shows that the trait that most characterizes outstanding teachers is the manner in which they interact with students outside of class sessions. If you spend substantial amounts of time outside of the classroom working with and supporting students, be sure to give yourself proper credit in your dossier. Activities that follow in this category of teaching include tutoring and advising; supervising independent studies; giving assistance to students applying for employment, internships, and graduate study; sponsoring or engaging in other activities with academic clubs; supervising non-credit or preliminary research; serving on students’ thesis and dissertation committees; and leading students in non-credit activities such as field trips or travel to professional meetings.

Document all the teaching services you provide, such as engaging in special efforts in class preparation, including materials and equipment maintenance; strengthening campus media and library resources; soliciting donations of materials for courses; building guest speaker networks; and participating in program work (e.g., designing new courses and programs, mentoring instructors and lecturers, community outreach).

Document the teaching-oriented professional development activities in which you participate. These types of professional development activities may include your consultations with the director of the CFD, mentors from the CFD Tenure-Track Mentoring program, and colleagues; participation in meetings, conferences and workshops on teaching; membership in organizations that focus on teaching; any writing, editing, reviewing, or researching for your own discipline’s pedagogy; use of new teaching strategies; development of and teaching online, accelerated (e.g. Maymester, a three-week session) and alternative-format courses; application of technology to enhance students’ learning and experience; and initiation of and assistance with campus activities that promote better teaching or a better teaching environment.

See Reference Material:

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<tr>
<th>Appendix A</th>
<th>Appendix B</th>
<th>Appendix C</th>
<th>Appendix D</th>
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<tr>
<td>Syllabus Policy and Template</td>
<td>Faculty Guide to Working with Distressed Students</td>
<td>Faculty Guide to Managing Disruptive Behavior in the Classroom</td>
<td>The Scholarship of Teaching and Learning (SoTL)</td>
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</table>
Research and Creative Work

A. What is Research? What is Creative Work?

In traditional academic disciplines, research is compiled into a record of scholarly publications—typically peer reviewed books, book chapters, articles, and conference proceedings. These publications are evaluated both in terms of quantity and quality. Evaluators ask questions about average annual productivity and the importance of the scholarly venues. Traditional academic research is peer reviewed, and evaluators want to know whether the research in question has had a demonstrable impact upon the field.

Creative work is also compiled into a record that can be evaluated in terms of its quality and quantity. It, too, has to be peer reviewed, and evaluators want to know what impact it has had on the field. Exhibitions, musical performances, design competitions, patent applications and other forms of creative work do not assume value simply because they have occurred. Creative work, much like research activity in traditional academic disciplines, happens within a community that assigns value to it. Artists, musicians, actors, and architects must ascertain the stature and significance of the venues at which the work is exhibited or the performances occur and seek out opportunities of the highest caliber.

You are strongly advised to carefully review the RTP criteria of your department/unit/college at the onset of your appointment to ensure that you are familiar with specific criteria, requirements and recommendations regarding the documented research/creative work accomplishments and endeavors that will garner a meritorious or excellent evaluation.

B. Developing an Agenda

It is essential for you to develop an overall plan for the development of your research or creative work with each year spent working toward a subset of the overall goals. You must set priorities and organize what you want to accomplish by the comprehensive review and what you need to have completed by the time of your tenure and promotion evaluation. Typically, a comprehensive review takes place in the fourth year and the review for tenure and promotion during the seventh year.

Your research/creative work will be evaluated for evidence of growth, impact on the field, originality, and future promise. Your work needs to evolve, expanding beyond the scope of graduate and/or postgraduate study, and it is important to build a cohesive body of work that represents a sustained focus. Each discipline varies in terms of the kind of research contribution it most values, be it a book or journal article, and be it empirical or theoretical work. Papers published in premier journals within your field more often become cited than those in lesser journals; it is worth remembering that citation frequency of your published work is certainly an important measure of the impact your scholarship. If you have questions about which publications outlets, or exhibition/performance venues, are most valued in your discipline, ask your colleagues at CU Denver and beyond.

The University of Colorado administrative policy statement, The Professional Plan for Faculty (see: https://www.cu.edu/policies/aps/academic/1011.html), requires all faculty members to develop a Professional Plan. The Professional Plan is intended to serve as the basis for discussions among faculty colleagues and to ensure that all faculty are working toward the goals of the unit. You should have your Professional Plan prepared for submission early in the spring semester of the first year of your appointment and submit annual updates each spring. However, successfully completing the goals of the Professional Plan and annual merit review does not necessarily insure reappointment or tenure and promotion. For more information, please refer to the RTP Review Process section, pages 16 – 21.
To ensure that the time you devote to research/creative work is maximized throughout the RTP timeframe, it is helpful to have a long-term plan for action with specific short-term goals.

The following strategies can help you achieve your goals:

- Choose research topics or creative work activities that can be pursued at the Denver Campus;
- Select topics for research/creative work that you and trusted peers deem important within the field, and are worthy of your attention and effort;
- Allot and spend significant time for research/creative activities each week;
- Select collaborators, when appropriate, who will help you achieve your goals;
- Communicate regularly with your department chair and colleagues about what you are doing;
- Establish deadlines and adhere to them;
- Make and nurture contacts with significant contributors in your area of research/creative work;
- Utilize the services and resources of the CFD;
- Secure funds to support your research/creative work endeavors. Utilize the services and support of the Office of Research Development and Education (ORDE) and the YUMPS (Young Upwardly Mobile Professors) grant program through CFD.

C. Maintaining and Sustaining Research

If you have recently completed your doctorate, it is appropriate and relatively easy to develop one or more articles for publication from your dissertation. You are exceedingly familiar with this work and you should find working with the material a comfortable first venture into the publishing world. Once you have published something, you will feel more confident about developing a more sophisticated research agenda. It is essential for reappointment and for tenure and promotion that your research is not derivative of earlier research and includes significant accomplishments subsequent to your appointment at CU Denver.

Plan your research agenda around semesters and courses and also around the best times to collect data or assemble materials; around deadlines for conferences and grant submissions; and around the best times to analyze data and write. For example, if you are faced with particularly heavy teaching responsibilities one semester, determine how you can use your time weekly to collect data, do library research, or analyze the problem. Then, when open blocks of time become more available, you are ready to begin writing or to undertake other activities that require more time.

Some faculty members suggest working on three projects in different stages of completion at the same time: collecting data, analyzing data, and writing. In this way, you are always working and will be continuously producing articles to submit for consideration for publication. Evaluators are often impressed by consistent research productivity.

Schedule blocks of time to work on your research activities. Some people work best in whole-day blocks of time and others find a certain time of day better for writing. Schedule meetings and appointments with these considerations in mind, making sure you earmark sufficient, as well as high quality, time for your research.
activities. Do not be diverted by reading your email or answering correspondence during the time you have designated for your research activities.

Engaging undergraduate or graduate students in your research pursuits, thereby integrating teaching and research, will enable you to enjoy much longer periods of time on your research work, will provide you with much needed assistance in certain aspects of the research and will add a new dimension to your scholarship.

In choosing journals for publication, make conscious decisions about the particular audience you wish your work to reach. Have your manuscript finely tuned in format as well as substance before submitting it for publication. Be certain it is appropriate for the particular journal to which you plan to submit it. It takes time to revise and resubmit an article.

Several rules generally hold across disciplines. For example, the publication of popular books and textbooks is not as valued as greatly as other research endeavors. Writing a textbook is usually viewed as teaching, rather than research work, unless colleagues' letters attest to its research contributions.

One way to maintain and sustain research is to work collaboratively, especially across disciplines. Work done in collaboration with others can be difficult to evaluate without explanation from you. Make certain to explain in your dossier the nature of your independent contribution to a co-authored article, project or creative endeavor. For all co-authored products, provide an explanation of the role of each co-author and the meaning of the order of the names of co-authors. Letters from co-authors may be helpful. It is particularly important to explain your role in relation to co-authors who are students.

D. Maintaining and Sustaining Creative Work

Many of the suggestions in the preceding sections also apply to creative work: planning and scheduling your work around courses, semesters, submission deadlines for exhibitions, the best times for you to engage in creative activities; planning sufficient blocks of time to work; not being distracted from your planned schedule; and involving students in your creative endeavors.

Your creative work may also leads to the following valued products: a DVD or video for non-profit and/or commercial distribution; design competition entries and awards; planning and design awards; the publication of books, monographs, reports, and reviews that are important to the field; computer software; website-designs; professional commissions; built projects and approved longer-range plans that have been well-received. As with colleagues engaged primarily in research, you need to know what your creative discipline values and focus your creative work, if appropriate, on those activities and associated products.

As with research, your creative work will be evaluated for evidence of growth, impact on the field, originality, and future promise. Your work also needs to evolve, expanding beyond the scope of graduate and/or postgraduate study and to build a cohesive body of work that represents a sustained focus.

E. Preparing for Publication, Performance, or Exhibition

CU Denver colleagues or colleagues in your field at other institutions can advise you about the valued criteria for achievement in your field and about the reputation of journals, competitions, professional awards, exhibition and performance spaces. Your colleagues can also provide advice on drafts of your papers, creative work, or design work before you submit to journals or competitions. Be sure to seek advice in this area, whether it comes from campus or external sources.
It is important to publish or display your work promptly so that interested scholars can learn about it, cite it, and provide helpful critical feedback that will aid in shaping your future work. Do not wait until a book is completely finished before earmarking a piece (perhaps a pilot piece) for professional feedback.

Early in your career, begin the process of building visibility and keeping the door open for important criticism to which you may need to respond to in your work. If publication or exhibition is important in your field, prepare your work for the most respected peer-reviewed journals or esteemed galleries. The prestige of the journal or arts venue influences the assessment of your scholarly work.

F. Obtaining Financial Resources to Support your Research/Creative Work

In some disciplines faculty members simply cannot succeed in their research/creative work without obtaining major funding, almost always requiring applications for grants or contract funds from external sources. Even in fields such as the arts and humanities where funding has been historically relatively low, faculty members can benefit enormously from fellowships for summer work, study leaves, travel funds and student support funds available at the school or college level. Depending on the departmental by-laws, faculty members may be expected to exert efforts to obtain such funding through grant writing. The university offers a number of small internal grants through the CFD and the Office of Research Administration. Workshops conducted by Office of Research Development and Education (ORDE) and the CFD can help with grant writing and share tips on obtaining and sustaining support for your research/creative work. The Office of Grants and Contracts (OGC) and sub offices within the Office of Research Administration provide guidance and support for submitting and grants and contracts that are compliant with national requirements. The details of services and internal grants offered are described in the next sections and in the appendix material.

G. Internal Support for Research and Creative Work at CU Denver | Anschutz

1. Services and resources available through the Center for Faculty Development (CFD)
   The CFD provides funds and administers several internal grants programs. The grants programs for faculty on the Denver Campus include the YUMPs (Young Upwardly Mobile Professors) program, the Faculty Development Grants, and the Research and Scholarship Completion Fund. For more information about these and other programs visit the CFD website: http://www.ucdenver.edu/faculty_staff/faculty/center-for-faculty-development/Pages/default.aspx, or contact the center’s acting director Donna Sobel at 303-315-3030 (or via email at donna.sobel@ucdenver.edu).

2. Support for preparing and submitting grants from the Office of Research
   Investigators at CU Denver | Anschutz submitted over 3156 proposals and received awards totaling more than $434 million during fiscal year 2012.

For more information about the research functions at CU Denver, see: http://www.ucdenver.edu/about/WhoWeAre/Chancellor/ViceChancellors/Research/Pages/default.aspx

   a. The formal, centralized structure of the Office of Research at CU Denver | Anschutz is as follows:

   Dr. Richard Traystman
   Vice Chancellor for Research
   Paula McGuigan, Executive Assistant
   303-724-8155
   <paula.mcguigan@ucdenver.edu>

   Associate Vice Chancellor for Research
   (vacancy)
   Carie Carroll, Executive Assistant
   303-315-5826
   <carie.carroll@ucdenver.edu>
Each of these personnel play different roles and have differing oversight responsibilities for continuing and enhancing the proposal and award activities at CU Denver | Anschutz. Examples of activities of this administrative team are: identifying new long-term strategies for the university to pursue; organizing teams of faculty and staff to seek new streams of revenue relevant to the university’s vision and mission; creating networking opportunities for faculty; assisting individual faculty members in locating potential internal collaborators with whom to work; assisting individual faculty in identifying and pursuing appropriate funding agencies; developing and honing grantsmanship abilities of faculty members; submitting grants in proper formats in a timely way; tracking expenditures and assuring the final financial reports and descriptions of accomplishments are provided to the granting agencies on time; and assuring that all research is conducted in an intellectually sound and ethically responsible manner.

b. The first level of support for a new faculty member developing a grant is the school, college or departmental research support staff member assigned to this important task. These individuals can give advice as to contacts for finding grant opportunities, assistance in preparing budgets, contacts for meeting compliance requirements (e.g., use of human subjects or animals in research) for a particular project, help in interpreting details regarding the written requirements for a particular granting agency and interfacing with the OGC.

**Current research support staff members are:**

| College of Architecture and Planning | Danielle Brunner <danielle.brunner@ucdenver.edu> |
| College of Arts & Media | Hilary Fowler <hilary.fowler@ucdenver.edu> |
| Business School | Cliff Young <cliff.young@ucdenver.edu> |
| School of Education & Human Development | Bolormaa Begzsuren <bolormaa.begzsuren@ucdenver.edu> |
| College of Engineering and Applied Science | Kathryn Brocko <kathryn.brocko@ucdenver.edu> |
| College of Liberal Arts and Sciences | Carol Achziger <carol.achziger@ucdenver.edu> |
| School of Public Affairs | Benjamin Rowe <benjamin.rowe@ucdenver.edu> |
You, of course, are ultimately responsible for preparing, submitting and overseeing grants to support your scholarly agenda. The best directory for finding contact information for all University of Colorado faculty and staff is the online listing at: https://www.cu.edu/content/campus-phone-directories.

c. The second level of support is offered by the Office of Research Development and Education (ORDE). Personalized fund searching for individual faculty projects and a Faculty Seminar Series addressing funding source location and proposal development topics are just two of many services provided to CU Denver | Anschutz faculty (see Appendix E). For further details consult the ORDE website: http://www.ucdenver.edu/academics/research/AboutUs/ORDE/Pages/orde.aspx.

d. The third level of support is the Office of Grants and Contracts (OGC) that assists faculty, administrators and staff in fulfilling the research mission of CU Denver | Anschutz Medical Campus by providing guidance about the sponsored project process. Services provided by OGC (see: Appendix C) include grant proposal routing and award set-up, contract and subcontract negotiation, management of post-award financial and non-financial requirements, sponsored project accounting and gift accounting. Specific guidance and information is available at the OGC website: http://www.ucdenver.edu/academics/research/AboutUs/GrantsContractsOffice/Pages/default.aspx.

Be sure to reference training requirements for Principal Investigators at this website and complete those training requirements prior to submitting a grant or contract proposal. For advice on grant submissions, John Ward from OGC can assist you at the Denver Campus office (LSC 300) on Tuesdays and Thursdays.

e. The fourth level of support offered to faculty comes from the Department of Environmental Health and Safety (EHS). This unit provides comprehensive environmental, health and safety services to CU Denver | Anschutz researchers and staff. Details are available on the EHS website: http://www.ucdenver.edu/academics/research/AboutUs/health-safety/Pages/EnvironmentalHealthSafety.aspx.

f. The final group that supports your work is the Office of Regulatory Compliance. The office oversees the approval processes for working with human subjects, dealing with hazardous materials, carrying out research with animals and related government-imposed regulatory matters. They provide training for the faculty in each of these areas to ensure that sound and ethical work practices are followed when conducting any type of study, whether funded or not. A representative from the compliance group is on the Denver Campus on Monday and Wednesday mornings (LSC 300) to advise on human subjects research matters. Further information about the services of Regulatory Compliance, consult their website: http://www.ucdenver.edu/academics/research/AboutUs/regcomp/Pages/Regulatory-Compliance.aspx

H. Relationship Building: Increasing the Visibility of Your Research/Creative Work

1. Within the primary unit
In the College of Liberal Arts and Sciences, the College of Engineering, the College of Architecture and Planning, and the College of Arts & Media, the primary unit is the department (e.g., Chemistry, Economics, English, Mathematics, Psychology, Civil Engineering, Computer Science and Visual Arts). In the Business School, the School of Education and Human Development and the School of Public Affairs, the primary unit is the entire college or school. The Auraria Library is a primary unit.

Get to know colleagues in your unit. When the primary unit votes on your reappointment, tenure and promotion, your colleagues’ familiarity with you and with your work is vital. This familiarity begins with your Professional Plan and is based not only on their taking the time to read, view, or listen to your work, but also on their sense of you as lively, responsive, and active in your research or creative work. Colleagues
can help you by discussing your ideas with you, encouraging you about what is new enough and valuable enough to write or create, advising on the best journals or competitions, reviewing and criticizing drafts, and suggesting you for important conferences, exhibitions, etc.

You should consult with your chair (or division coordinator) and mentor/s regularly about professional choices, making sure that you know the criteria for advancement, what are considered the best refereed journals or galleries, and what is "normal" productivity. Your chair and mentor/s play an important role in monitoring the evaluation process and in interpreting the primary unit vote. You need to keep your chair and mentor/s informed about your accomplishments and you need their advice in making choices.

Pay attention, too, to the results of your annual evaluations or merit reviews. The results of these reviews should give you helpful information about your chair’s and/or dean’s perceptions of your strengths and positive accomplishments, as well as areas needing attention or improvement.

2. **Within the CU Denver and University of Colorado communities**

   Colleagues outside your primary unit will eventually evaluate your work. Get to know colleagues in other disciplines and other schools or colleges. Particularly get to know colleagues who engage in research or creative activities related to your own. College/school/Library committee service is important and useful for an untenured faculty member. Participation with the faculty assembly committee or council is a good way to get to know your colleagues in other colleges and schools on the Denver Campus. Be careful that committee work does not significantly impact the time you need to devote to your research/creative work. Taking on the responsibility as a committee chair or serving on a search committee is far too time-intensive if you are on the tenure track. If you have any questions about which service opportunities to select, consult your chair, mentor/s, associate dean, or dean for advice.

3. **Within a national and international network**

   Attendance at conferences and workshops helps you establish contacts. The presentation of papers at conferences generally requires less lead time than journal publication and may help to make your work more widely known. In general, conference papers or presentations, while peer-reviewed at a high level, are not valued as greatly as articles published in refereed journals or performing/showing at a top tier arts venue in the reappointment, promotion, and tenure review processes so be selective and constrict the amount of time and effort devoted to conference activities.

   Small professional meetings where you can engage in serious intellectual discussions with colleagues may often be more helpful than larger, more anonymous meetings. You may also want to participate in establishing a national network of colleagues in your area of research interest or creative endeavor, if such a network does not already exist.

**See Reference Material:**

Appendix E: External Funding Opportunities and Services offered by ORDE

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**Service**

Service opportunities abound within the university, the broader community, and the profession at large. You will easily find numerous activities and causes that allow you to use your expertise and interests in productive and satisfying ways. However, your primary unit has specific expectations regarding service. Expectations may vary from one primary unit to another. Be sure to learn what your primary unit’s service expectations are and discuss these with your department chair or division coordinator.
You must make careful decisions about service activities and watch your time commitments carefully. Service can consume vast quantities of time and energy. However rewarding it may be, service does not count nearly as much as teaching or research/creative work in reappointment, tenure and promotion decisions. It is essential that you find ways to say no, if you are asked to participate in service activities that will cut significantly into the time you need to spend on teaching and research/creative work.

Any activities for which you receive separate compensation (e.g., consulting with an external agency or organization) must be acknowledged. Also, you must conform to the University of Colorado’s Regent’s rules about the amount of time that can be spent on outside consultations (commonly referred to as the “one-sixth rule”). For more information about additional remuneration for consultative services, review the Regent’s policy statement at: https://www.cu.edu/regents/Policies/Policy5E.htm.

A. University Service

University service opportunities include such activities as serving on college/school/Library, university, or system-wide committees; serving on the Denver Campus Faculty Assembly or the University of Colorado Faculty Council; and serving on ad hoc task forces or temporary committees that are formed for special purposes.

B. Public Service

1. Community Service
   Service to the broader community includes, but is not limited to, such activities as serving on the board of a not-for-profit organization; serving as a professional consultant to a community organization, public agency, or private business; serving as an expert witness or consultant in a legal matter. These activities will count as service if they are related to your discipline or research/creative interests, but not otherwise.

2. Service to the Profession
   Service to your profession includes, but is not limited to, such activities as participation in professional organizations, committees, and projects; professional licensure/registration; holding office in a professional organization; journal reviewing and manuscripts and editing journals; reviewing grant and fellowship proposals; serving on an accreditation review committee for a regional or specialized accrediting agency; and serving on professional conference planning committees.
Keeping Records of Activities and Accomplishments

Begin to keep records of your activities and accomplishments in teaching, research/creative work, and service from the time of your initial appointment. If you do this, you will be able to construct a viable dossier more easily by the time your first review arrives.

Here are some suggestions of the type of information you should be collecting:

A. Teaching

- List of formal courses; course outlines and syllabi (see Appendix A); reading lists; grade sheets.
- Evidence of work in developing new courses and new methods of teaching, including use of new technology.
- Work on textbooks, published or unpublished.
- Record of theses and orals/written examination committees on which you have served (undergraduate honors theses, M.A./M.S. theses, oral/written qualifying examinations, doctoral dissertations).
- Record of dates of completion of student directed theses/dissertations.
- Contracts documenting independent studies and internships you have supervised/directed.
- Student advising and mentoring; student clubs, professional presentations with students; directing students in the Undergraduate Research Opportunity Program (UROP)
- Curriculum and/or program development you have contributed to your primary unit.
- Teaching awards and grants.
- Original FCQs forms; create a summary table with courses taught, the course and faculty ratings for each course and overall average course and faculty ratings (see Appendix G).
- Unsolicited letters from students, alumni and colleagues.
- Professional status of former students.
- Outstanding students you may have influenced, with details.
- Joint publications with students.
- Contributing to, or editing, a professional journal on teaching.
- Participating in a teaching mentoring program.
- Participating in, or giving, teaching improvement workshops.
B. Research/Creative Work

- Publications and creative works, peer reviewed and non-peer reviewed, including dates, number of pages, etc.

- Research colloquia and conferences attended, with dates, places, and brief descriptions.

- Research colloquia and conferences at which presentations were made, with dates, places, with brief description, and whether the presentations were peer reviewed/invited.

- Exhibitions, performance events or film screening to which you were invited to contribute or participate, with dates, location and brief description.

- Requests to review books or performances, with details.

- Citation analysis, with details.

- Important citations of your work, with details.

- Unsolicited letters of praise.

- Reviews of your work.

- Research awards, with dates, amounts, name of donor.

- Grants applied for, (include funded and unfunded grants), with funding agencies, dates, and amounts requested; amounts funded.

- Fellowships applied for and received, with dates, amounts, and brief descriptions.

- Work in press, with details.

- Work in preparation, with details.

- Professional commissions.

- Creation and development of new technology related to the discipline.
C. University and Public Service Activities

- Service activities on college/school/Library, university, or system-wide committees.

- Committee, consultant, and public service work, with details.

- Letters concerning the nature of your contribution.

- Letters from persons acknowledging your professional or service work.

- Records of participation in professional organizations and committees and offices held, with details.

- Service on editorial boards, with details.

- Service as a consultant with details.

- Reviews of grant and fellowship proposals, with details.

- Reviews of manuscripts, with details.

- Journal editorships, with details.

- Service awards, with details.

- Honorary degrees, with details.

- Service as an expert witness or consultant in a legal matter.
Summary of Advice

1. **Know the system.** Learn the rules, customs, procedures, the channels of communication, power centers, and ways to get things done.

2. **Manage your time effectively.** Be sure to set aside time for your students, research/creative work, your service activities, your family, and yourself.

3. **Maintain records.** Compile your own personnel file and update it at least every semester. Items in this file will be needed to document your accomplishments for reappointment, tenure, and promotion. Keep your curriculum vitae updated and current and in the format as shown in Appendix D. Your first evaluation occurs very quickly and deadlines cannot be altered.

4. **Carefully review the RTP criteria of your department/unit/college.** Be sure that you are familiar with specific criteria, requirements and recommendations regarding the documented research/creative work and teaching accomplishments and endeavors that will garner a meritorious or excellent evaluation.

5. **Know key administrators.** Get acquainted with your chair, dean, and other university administrators who can be helpful to you. They want and need to hear from you, and get know you.

6. **Know your colleagues.** Attend seminars, colloquia, lectures, artistic, and social events on campus. Seek intellectual and social companionship from your colleagues. Find an advisor or mentor in your department or college/school/Library, or through the assistance of the CFD tenure-track mentoring program, to work with someone who can help you understand the system and the procedures you need to know.

7. **Monitor your reviews.** Check with the primary unit head about the progress of your dossier through the review process and request a copy of the primary unit letter if you haven’t received it when the dossier goes forward to the dean’s level. Check with the dean’s office or with your mentor about the progress of your dossier through the review process and request a copy of the dean’s level letter(s) if you haven’t received it/them when the dossier goes forward to the vice chancellor’s level.

8. **Be informed.** Read the University of Colorado Faculty Handbook that is available online at: https://www.cu.edu/content/faculty-handbook, all the important university, school/college/Library, and department/program documents and web pages (also see Appendix J). Ask questions freely to obtain the information you need to understand these policies and procedures. When in doubt, seek counsel from appropriate sources.

9. **Know what resources are available.** Find out about resources in your department/program, school/college, and the university that can help you in your teaching, research/creative work, and your service endeavors.

10. **Make yourself known.** Take an active role in your career. Get involved with university, college/school/Library, and department/program committees and other university-wide activities—but be mindful of not over-extending your service commitments in the interest of needed time for research/creative work.
10. **Ask for help.** Take advantage of the expertise of the CFD, CU Online, Auraria Library, senior colleagues, and various administrative offices available for assistance of various kinds. Participate in the CFD Tenure-Track Faculty Mentoring Program. Attend YUMPs sessions, the schedule of meetings can be found on the CFD website. These sessions help untenured faculty members learn about the RTP review process and dossier preparation, as well as other aspects of university life.

**SEE Reference Material:**

- Appendix F: Curriculum Vitae Format
- Appendix G: Sample Summary Table of Courses Taught and FCQ Results
- Appendix H: Dossier Preparation and Candidate Responsibilities
- Appendix I: Dossier Checklists
The RTP Review Process

This section briefly explains how the performance of tenure-track and tenured faculty at the Denver Campus will be evaluated. New faculty are urged to read the specific policy statements on RTP, which are available online.

Denver Campus Policy on Reappointment, Tenure, and Promotion:
http://www.ucdenver.edu/faculty_staff/employees/policies/Policies%20Library/OAA/RTP.pdf


University of Colorado Board of Regents Policy on Reappointment, Tenure, and Promotion:
https://www.cu.edu/regsents/Policies/Policy5M.htm

University of Colorado Policy on Post-Tenure Review:
https://www.cu.edu/policies/aps/academic/1003.html

Stages of the RTP Review Process

All stages and levels of the review process take into account your teaching record, your research/creative work and your university, professional, and public service activities. Other criteria that may have a material bearing on the decision being made are also considered. The award of tenure is dependent upon developing excellence in either teaching or research, or both. Make sure you are building a record of excellence in one, or both, of these two areas while achieving a meritorious record in the other two. In accordance with the Laws of the Board of Regents tenure may be awarded only for demonstrated meritorious performance in each of teaching, research/creative work, and service, and demonstrated excellence in either teaching, or research/creative work, or both.

Read the full policy statement at: https://www.cu.edu/regsents/Policies/Policy5M.htm.

The Denver Campus generally weights faculty performance as 40% in teaching, 40% in research/creative work, and 20% in service. Once you receive tenure, you may negotiate a differentiated annual workload, if for example, you assume administrative duties such as chairing your department, or want to, or need to, distribute your efforts differently. Such arrangements must be approved so that workload distributions are fairly made and the necessary work in the department/school/college/Library can be carried out.

Be aware, however, that the standards and criteria for promotion to full professor do not flex to accommodate a differentiated workload. Taking a differentiated workload may result in waiting several additional years in order to achieve the requisite record before coming up for promotion review to full professor.

A. Pre-Tenure

1. Initial Appointment
Your initial appointment as an assistant professor (or, less commonly, as an associate professor, tenure-track) indicates that you are well qualified to teach at the undergraduate and graduate levels, to carry out scholarly or creative work in a special field, and to meet the program requirements of the primary unit. Your carefully reviewed and approved appointment reflects a judgment that you have the potential to achieve tenure at CU Denver.
2. **Professional Plan**
   Every faculty member is required to prepare a Professional Plan. The Professional Plan is designed to provide a clear statement of your goals and the nature of effort you will make in teaching, research or creative work, and service. The Professional Plan clarifies for the primary unit and other evaluative groups the goals you have set. The Plan should be developed in consultation with the primary unit so that your planned activities, when combined with those of other faculty in the unit, result in the primary unit’s meeting its responsibilities to students and the university. The Professional Plan is submitted annually in the spring semester and updated annually. For more detailed information, read the university policy statement at: https://www.cu.edu/policies/aps/academic/1011.html.

3. **Annual Merit Reviews**
   Every faculty member’s performance in teaching, research/creative work, and service is evaluated annually through the merit review process. The results of the evaluation include feedback on areas of strength and areas in need of improvement, as well as your salary increase for the next academic year. Check with your chair, associate dean, or dean for the procedures that are used in your school/college/Library.

4. **Comprehensive Review Process**
   The comprehensive review is a critical appraisal point that occurs in the fourth year. The comprehensive review process evaluates your entire record since your appointment as a faculty member and includes the use of external evaluators.

   The comprehensive review provides a formal mechanism for the department or program to get to know your record. Its purpose is to assist you and the department or program to identify your strengths and weaknesses in sufficient time to allow you to improve your record before your evaluation for tenure and promotion to associate professor. You should use the comprehensive review as the basis for collegial conversations with faculty in your unit about your professional progress.

   Non-reappointment is possible as the result of the comprehensive review. If you are not reappointed, you will have a terminal year before your appointment ends. More typical is reappointment with specific advice about aspects of your performance that need improvement during the years prior to your tenure review. It is essential that you pay attention to advice for improvement and make the necessary changes prior to your review for tenure.

   If you fail or decline to submit a dossier for comprehensive review at the scheduled time or submit a dossier that omits relevant material, you are deemed not to have applied for reappointment.

B. **Dossier**
   Your dossier must be a carefully developed compilation of documentation of your activities and accomplishments. It is a complete record of your teaching, research/creative work and service since your appointment and is critical to the reappointment, comprehensive review process: it is the evidence in support of your application for reappointment, tenure, and promotion. This is an opportunity for others to analyze the progress of your work and its contributions and impact, and to highlight future directions.

   The dossier may be presented in no more than three (3), three-ring binders. If a candidate submits multiple binders, the case for reappointment, tenure, and promotion must be made in binder #1, with supporting materials in the remaining binder(s).
For the primary unit, at the comprehensive and tenure, and promotion reviews, you are required to provide the following in your dossier: (also see Appendix H & I).

• Current curriculum vitae in the required format (see Appendix F).

• Summary overview (two-three pages) of your entire record, dossier, and plans for the future and Professional Plan.

• Statement about teaching and educational endeavors, with associated documentation.

• Summary table of courses taught and FCQ results (see Appendix G).

• Statement of research/creative accomplishments and plans, with associated documentation.

• Statement of service activities, with associated documentation.

• Copies of the research/creative work (in a separate binder).

• Additional documentation you feel is necessary to fully represent your work for review.

*NB: the candidate should check with their primary unit head in their school or college concerning the contents and presentation of the dossier that will be sent to external reviewers.*

**C. Tenure Review and Beyond**

1. **Tenure Review**

Normally, you are reviewed for tenure in the seventh year of your appointment. A faculty member may apply to be granted tenure in less than seven years. See: Board of Regents Article 5: https://www.cu.edu/regents/Laws/article-05.html. You should consider the advice you were given at the time of the comprehensive review and consult with your chair or mentor/s about the timing of your application for tenure.

Occasionally, highly experienced people have been hired as associate professors, tenure-track. The standards for tenure for associate professors on the tenure-track are the same as for assistant professors.

In accordance with the Laws of the Regents, tenure may be only be awarded for demonstrated meritorious performance in teaching, research/creative work, and service, and demonstrated excellence in either teaching, or research/creative work. See: https://www.cu.edu/regents/Policies/Policy5M.htm.

It is possible that you may not be awarded tenure as the result of the tenure review. If you are not awarded tenure, you will have a terminal year before your appointment ends. For more information, review the university policy statement that outlines the rights and appeals process for faculty who are denied tenure. See: Standards, Processes and Procedures for Appointment, Reappointment, Tenure and Promotion: https://www.cu.edu/policies/aps/academic/1022.html.

If you fail or decline to submit a dossier for tenure review at the scheduled time or submit a dossier that omits relevant material, you are deemed not to have applied for reappointment. In this situation, your
appointment terminates at the end of your existing appointment. There is no terminal year beyond the end of the existing appointment.

2. Promotion Review
Promotions require the same energy, focus, and effort by the faculty member as the comprehensive and tenure reviews require. The promotion review requires the use of external evaluators.

At the Denver Campus, the review for promotion from assistant professor to associate professor is conducted in the tenure review.

Promotion to full professor requires a record that, taken as a whole, is judged to be excellent; a record of significant contribution to both graduate and undergraduate education, unless individual or departmental circumstances require a stronger emphasis or singular focus on one or the other; and a record, since receiving tenure and promotion to associate professor, that indicates substantial, significant, and continued growth, development, and accomplishment in teaching, research/creative work, and service.

3. Post-Tenure Review (PTR)
Post-tenure review is required by Board of Regent’s policy. Post-tenure review is intended to (1) facilitate continuing faculty development, consistent with the academic needs and goals of the university and the most effective use of institutional resources, and (2) ensure professional accountability by a regular, comprehensive evaluation of every tenured faculty member’s performance.

Post-tenure review is a comprehensive peer review evaluation scheduled every five years following the award of tenure. Once a faculty member has been awarded tenure, the faculty member is responsible for continuing to submit a Professional Plan. Post-tenure reviews are based on the faculty member’s progress in implementing the Professional Plan and annual merit reviews.

The criteria used in post-tenure review are the same as for tenure and promotion review and reflect the same indicators of quality performance that are used in tenure review. If the faculty member is making good progress in following his/her Professional Plan and receives a rating of “meeting expectations”, or higher in the annual merit reviews, a brief post-tenure review will be conducted. Faculty who receive a summary evaluation of “below expectations” in their annual merit review at any time during the five-year PTR cycle are required to meet with members of their primary unit and/or the unit head, as determined in the by-laws of the primary unit, to identify the causes of the unsatisfactory evaluation and to plan and implement a written Performance Improvement Agreement (PIA) to remedy their problems. If the goals of the PIA have been met, as evidenced in the next annual merit evaluation, the faculty member continues in the current five-year post-tenure review cycle. If the goals of the PIA have not been met at the next annual merit evaluation, an extensive review process shall be initiated.

Levels of the RTP Review Process

The reappointment, tenure, and promotion review process occurs at several levels on the Denver Campus. Your initial appointment, comprehensive review, tenure review, post-tenure reviews, and considerations for promotion to full professor go through the same process of review at several levels. You are responsible for (1) preparing and implementing a Professional Plan and (2) for providing and ensuring full and complete documentation in your dossier that are the basis of every review (see Appendix H & I). Read the Denver Campus Reappointment, Tenure and Promotion Policy at: http://www.ucdenver.edu/faculty_staff/employees/policies/Policies%20Library/OAA/RTP.pdf

Department chairs are required to provide all tenure-track faculty with a formal description of the structure and criteria of the reappointment, tenure, and promotion review process. All schools and colleges have written standards for RTP. If you do not have a copy of the standards for RTP, be sure to ask your dean, associate dean, or chair for a copy.

A. Primary Unit Review

The primary unit conducts the initial review. The primary unit has a strong voice in personnel decisions: it selects information; it emphasizes; it analyzes and evaluates; it votes, and it makes recommendations. Thus, it has a significant impact on the eventual outcome of the review process.

The primary unit selects the external reviewers. You may suggest names of persons you believe would be appropriate evaluators. Where you believe someone would be inappropriate to evaluate your work, you must provide a reason. In suggesting external referees, care must be taken to exclude evaluators whose evaluations might constitute a conflict of interest. The identity of external reviewers and their letters are confidential and will not be disclosed to you. However, redacted comments from external reviewers are usually included in the letters written by the primary unit, the chair (where appropriate), dean’s advisory committee/first level review committee, the dean, campus-wide RTP committee, and the provost.

There is a definite schedule for the submission of personnel recommendations. You need to know the deadline and submit your materials on time. Deadlines are generally early in the fall semester. Be sure that materials you prepare for the primary unit review committee and the external reviewers are forwarded to the appropriate individuals in the primary unit. Since evaluation criteria vary among units, discuss with your chair the criteria that will be used to evaluate your record.

The primary unit's review may involve an appraisal by a committee in your unit that either acts as a whole to submit a report. The report is usually discussed by the faculty who outrank you. This discussion is followed by a vote. Split votes must be explained and a minority report may be provided. The vote is reported in the file and forwarded with the unit's letter to the dean. Where there is a departmental structure, the department chair also writes a letter expressing an independent opinion on your case. You receive copies of the primary unit’s report and the chair’s letter at the time they are inserted in the dossier. If you don’t receive these documents in a timely manner, ask for them.

B. First Level Review: College, School, or Library

The primary unit sends your dossier, with the departmental report and the chair’s letter, to the dean’s office. The dean’s office sends your dossier to the dean’s advisory committee/first level review committee, which
appraises it, adding its own letter of evaluation and recommendation. Split votes must be addressed and a minority report may be written. The dean’s advisory committee’s/first level review committee’s recommendations are advisory only and not binding on the dean. The dean appraises and interprets the dossier and writes a letter expressing an independent evaluation of your case. You receive copies of these two letters at the time they are inserted in the dossier. As stated in the previous section, if you don’t receive these documents in a timely manner, ask for them.

C. Second Level Review: Vice Chancellor’s Advisory Committee (VCAC)

The dean’s office sends your dossier to the provost’s office for review by the campus-wide Vice Chancellor’s Advisory Committee (VCAC) for RTP. This committee includes faculty selected from each of the colleges, schools, and the Library. This committee’s deliberations are confidential. The committee reviews applications for reappointment, tenure, and promotion and makes a written recommendation to the provost. The committee’s recommendations are not binding on the provost. You will receive a letter from the provost (and a copy of the VCAC letter), reporting the provost’s decision on your application, including any suggestions or recommendations.

D. Third Level Review: President

The provost makes a recommendation to the president. The president makes a recommendation to the University of Colorado Board of Regents.

E. Final Decision

The Board of Regents gives final approval to the award of tenure, and to the appointment of faculty with tenure. These faculty personnel decisions are not placed into effect without the approval of the Board of Regents.

See Reference Material:

Appendix H: Dossier Preparation and Candidate’s Responsibilities
Appendix I: Dossier Checklists
Appendix J: University of Colorado Faculty Handbook and Other Important Links
Appendix A:

Syllabus Policy

Title: Syllabus
Source: Provost’s Office
Prepared by: Associate Vice Chancellor for Academic Affairs
Approved by: Roderick Nairn
Provost and Vice Chancellor for Academic and Student Affairs
Effective Date: July 1, 2013
Replaces: October 5, 2010
Applies: Denver Campus

A. Introduction

While a syllabus is an essential component of good teaching, student learning, and outcomes assessment, it is also a guide used in the resolution of conflicts that may arise between students and their instructors. While a faculty member has great flexibility in the design and content of a syllabus for a course, core information should be found in every syllabus for courses offered at CU Denver.

B. Policy Statement

(i) All faculty members must have a current syllabus for each course and must provide a syllabus to students at the first class meeting of every course. For details (including recommended boilerplate statements), please see the appended CU Denver Syllabus Template.

(ii) By census date of each term, all faculty members must provide their Dean’s office with updated electronic copies of the syllabi for all courses they are teaching that term. The campus record retention schedule requires syllabi to be retained by the instructor until no longer needed for reference.

CU Denver Syllabus Requirements:

1) Course Overview and Course Information
   a) Campus location (Denver or Anschutz Medical Campus) Academic School/College
   b) Course title (official ISIS title), number, prefix, and section designation. Indicate if the course is part of the undergraduate core. See http://www.ucdenver.edu/student-services/resources/ue/core/Pages/default.aspx for a listing of all core courses.
   c) Semester/term and year
   d) Class meeting day(s) and time(s)
e) Catalog description and any additional information including requisites.

2. Basic Instructor Information
   a) Instructor’s name (including co-instructors and/or teaching assistants)
   b) Instructor’s office location (building and room #)
   c) Instructor’s contact Information (phone number(s) and email address(es))
   d) Instructor’s office hours and statement of availability (for hybrid and online courses)

3. Course Goals/Outcomes
   a) Overall learning objectives
   b) Major topics
   c) Rationale (instructor’s statement relating course content to student’s academic or professional growth, etc.)

4. Evaluation
   a) Requirements (papers, oral reports, projects, quizzes, tests, final exams, etc.), including points, deliverables, and due dates
   b) Instructor’s grading policy
   c) Instructor’s attendance, participation, and late-work policies. If attendance is part of a student’s grade, details in writing are mandatory as lack of information may become the source of student complaints and appeals.
   d) If the course is a “slash” undergraduate/graduate course, differing outcomes, assignments requirements, and evaluation metrics for graduate students must be articulated to distinguish them from undergraduate outcomes, assignments, requirements, and metrics.

5. Syllabus Revisions
   a) In general, syllabi should not be changed once the semester begins, though unforeseen circumstances may lead to changes. Students should be notified in a timely manner in writing (via email/Canvas, etc.) of any changes to the syllabus.

6. Course Procedures
   a) Materials (required as well as recommended texts, equipment, software, and supplies)
   b) Course calendar/schedule (may include appropriate links to CU Denver academic calendar).
   c) Course-related (and/or School/College-related) policies and procedures, (with appropriate links) such as attendance, late work, incompletes, communication, participation, notification of changes.
   d) Research/library-related skills needed for successful completion of course.
   e) University wide policies that are relevant to the syllabus:
      • Student Code of Conduct
        http://www.ucdenver.edu/life/services/standards/students/pages/default.aspx
      • Accommodations
        http://www.ucdenver.edu/student-services/resources/disability-resources-services/accommodations/Pages/accommodations.aspx
      • Academic Freedom
      • Family Educational Rights and Privacy Act (FERPA)
        http://www.ucdenver.edu/student-services/resources/registrar/students/policies/Pages/StudentPrivacy.aspx
      • Attendance
http://www.ucdenver.edu/faculty_staff/employees/policies/Policies%20Library/OAA/StudentAttendance.pdf

- Discrimination and Harassment Policy and Procedures
  http://www.ucdenver.edu/about/WhoWeAre/Chancellor/ViceChancellors/Provost/StudentAffairs/UniversityLife/sexualmisconduct/DenverPolicies/Pages/DenverWelcome.aspx

- Grade Appeal Policy

Center for Faculty Development
UNIVERSITY OF COLORADO DENVER

Syllabus Template

Course Subject: Course Number: Course Title
Department Name
College Name, University of Colorado Denver
COURSE SYLLABUS

Instructor: Instructor Name
Office: Building and Room
Phone: Instructor Phone
Email: Instructor University Email
Website: Instructor and/or course website
Office Hours: Days and Time
Term: Spring 20XX
Class Meeting Days: Days
Class Meeting Hours: Start and End Time
Class Location: Building and Room
Lab Location: Building and Room

COURSE OVERVIEW

I. Welcome!
   If desired, address your students directly with a statement of welcome or something that establishes the relevance of the course to their course of study and/or personal and professional goals. This is an opportunity to get them excited about the course.

II. University Course Catalog Description
    Paste the description from the catalog and indicate if the course is part of the undergraduate core. See http://www.ucdenver.edu/student-services/resources/ue/core/Pages/default.aspx for a listing of all core courses.

III. Course Overview
    Short description of the course, including the major topics addressed in the course, the rationale for those topics, and recommendations for student success in the course.

IV. Course Goals and Learning Objectives
    What will they know, what will they be able to do, what will they value, what will they create as they progress through the course? This can be under bullets, listing, outlines, as detailed as you would like. Learning objectives should be specific rather than general, speaking to skills and performance rather than knowledge: what will students be able to do as a result of completing the course? Learning objectives should also be clearly measurable. Often, learning objectives use the phrasing “by the end of this course, students will be able to…”
V. Course Prerequisites
What do you expect your students to know coming into this course? Include skills, and course pre-
requisites.

VI. Course Credits
List number of course credits

VII. Required Texts and Materials
Full text citations of all required materials
Guidelines for achieving desired level of understanding
Required library/library-accessible resources can be described here; supply links to free/full-text
materials as available (consult with librarian if needed.)

VIII. Supplementary (Optional) Texts and Materials
Full text citations/online links of any supplementary materials

IX. Course Schedule
The schedule should include the sequence of course topics, the preparations or readings, and the
assignments with due dates. For the readings, give page numbers in addition to chapter numbers to
help students budget their time. Exam dates should be firmly fixed, while dates for topics and activities
may be listed as tentative. Notify students in writing if the syllabus is revised.

<table>
<thead>
<tr>
<th>Date</th>
<th>Topic</th>
<th>Required Reading</th>
<th>Assignments</th>
</tr>
</thead>
<tbody>
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</table>

EVALUATION

X. Assignments
State the nature and format of the assignments and their deadlines. If you are using examinations, give
the examination dates and briefly indicate the nature of the tests (multiple choice, essay, short-answer,
take-home tests). How do the assignments relate to the learning objectives for the course? If you are
using written assignments, describe your expectations for written work, including the expected length
and formatting; if you use rubrics for written assignments, indicate where students may locate those
assessment tools. If you are using projects, describe your expectations; again, if you use rubrics for
written assignments, indicate where students may locate those assessment tools. To learn more about
creating rubrics, go to http://www.ucdenver.edu/faculty_staff/faculty/center-for-faculty-
development/Documents/Tutorials/Rubrics/2_theory/index.htm
XI. **Basis for Final Grade**

Provide a listing of assessments, points possible for each assessment, and the percentage weighting. In addition to (or even in lieu of) tests, consider exploring “authentic” assessments, which are based as closely as possible to real world experiences.

<table>
<thead>
<tr>
<th>Assessment</th>
<th>Points Possible</th>
<th>Percent of Final Grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>e.g., Essay 1</td>
<td>20</td>
<td>20%</td>
</tr>
<tr>
<td>e.g., Midterm</td>
<td>15</td>
<td>15%</td>
</tr>
<tr>
<td>e.g., Group Project</td>
<td>15</td>
<td>15%</td>
</tr>
<tr>
<td>e.g., Essay 2</td>
<td>30</td>
<td>30%</td>
</tr>
<tr>
<td>e.g., Final Exam</td>
<td>20</td>
<td>20%</td>
</tr>
<tr>
<td></td>
<td>100</td>
<td>100%</td>
</tr>
</tbody>
</table>

Insert grading scale here. Be clear as to whether the scale is based on points or percentage.

<table>
<thead>
<tr>
<th>Grading Scale (points or %)</th>
<th>Grade</th>
</tr>
</thead>
<tbody>
<tr>
<td>94-100</td>
<td>A</td>
</tr>
<tr>
<td>90-93</td>
<td>A-</td>
</tr>
<tr>
<td>87-89</td>
<td>B+</td>
</tr>
<tr>
<td>84-86</td>
<td>B</td>
</tr>
<tr>
<td>80-83</td>
<td>B-</td>
</tr>
<tr>
<td>77-79</td>
<td>C+</td>
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<tr>
<td>74-76</td>
<td>C</td>
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<tr>
<td>70-73</td>
<td>C-</td>
</tr>
<tr>
<td>67-69</td>
<td>D+</td>
</tr>
<tr>
<td>64-66</td>
<td>D</td>
</tr>
<tr>
<td>60-63</td>
<td>D-</td>
</tr>
<tr>
<td>0 - 59</td>
<td>F</td>
</tr>
</tbody>
</table>

XII. **Grade Dissemination**

Explain how students will learn of their grades from assignments and assessments.

Examples:

Graded tests and assignments in this course will be returned via the course’s Canvas course shell. You can access your scores at any time within the Canvas gradebook.

Papers, quizzes, and examinations will be distributed in a class session. I will announce when papers, quizzes, and examinations will be available to be picked up, if they are not to be returned during class. To ensure your privacy when papers, projects, quizzes, and examinations are returned in class or made available for pickup, please provide me with a 9x12 envelope with your name on it each time you submit a paper, quiz, or examination to me.
CU Denver utilizes web grading which is accessed through UCD Access. All web grading information can be found at www.ucdenver.edu/student-services/resources/registrar/faculty-staff/

**COURSE PROCEDURES**

XIII. Course Policies: Grades

**Attendance Policy:** Offer specifics about your expectations for attendance. How many absences are acceptable/expected? Will students get points for attendance? You may also describe expectation of courtesy here. Participation: For courses in which faculty utilize participation rather than attendance as part of the course grade, the syllabus should clearly communicate how participation will be assessed, how students will be informed of their participation grade, how participation will be documented and how the participation grade will be calculated into the final grade for the course.

UC Denver Student Attendance and Absences Policy:
http://www.ucdenver.edu/faculty_staff/employees/policies/Policies%20Library/OAA/StudentAttendance.pdf

**Late Work Policy:** Provide specifics about your policy on late work.
Example:
There are no make-ups for in-class writing, quizzes, the midterm, or the final exam. Essays turned in late will be assessed a penalty: a half-letter grade if it is one day late, or a full-letter grade for 2-7 days late. Essays will not be accepted if overdue by more than seven days.

**Extra Credit Policy:** Provide specifics about your policy on extra credit.
Example:
There is only one extra credit assignment: building a wiki of course content (see "course wiki " below for details). If extra credit is granted, the additional points are added to the "First Midterm" portion of the semester grade. You cannot earn higher than 100% on the "First Midterm" portion of the grade; any points over 100% are not counted.

**Grades of "Incomplete":** Provide specifics about your policy on incomplete grades.
Example:
The current university policy concerning incomplete grades will be followed in this course. Incomplete grades are given only in situations where unexpected emergencies prevent a student from completing the course and the remaining work can be completed the next semester. Your instructor is the final authority on whether you qualify for an incomplete. Incomplete work must be completed within one year or the “I” will automatically be recorded as an “F” on your transcript.

**Rewrite/Resubmit Policy:** Provide specifics about your policy on rewrites.
Example:
Rewrites are entirely optional; however, only the formal essay may be rewritten for a revised grade. Note that an alternate grading rubric will be used for the rewrite, featuring an additional column that evaluates the changes made specifically.

**Group Work Policy:** Provide specifics about your policy on group work.
Example:
Everyone must take part in a group project. All members of a group will receive the same score; that is, the project is assessed and everyone receives this score. However, that number is only 90% of your
grade for this project. The final 10% is individual, and refers to your teamwork. Every person in the group will provide the instructor with a suggested grade for every other member of the group, and the instructor will assign a grade that is informed by those suggestions. Also, everyone must take part in a group essay (see essay assignments below). The grading criteria are the same as the group project. Once formed, groups cannot be altered or switched, except for reasons of extended hospitalization.

**XIV. Course Policies: Technology and Media**

**Email:** Describe your policy for how email will be used (official university communication is only sent via a student’s university email address), who will communicate with whom expected response time, will you check it on weekends, who answers technology questions, etc.

**Canvas:** If you use Canvas for your course, describe how you will use it in the course, how often students should expect to login, how team activities will be organized, due dates, policies on late participation, etc. [Note: If your course uses blogs, wikis, or social networking/media sites and communities, be sure to describe how you will use these resources in the course as well.]

**Laptop and Mobile Device Usage:** Describe your policies for using laptops and mobile devices throughout your course.

**Library-supplied online databases and collections.** If you assign research projects, describe your expectations for students to be able to successfully use library resources.

**Classroom Response Systems:** If your course includes the use of student response devices (e.g. clickers), provide specifics about the usage and how to get started.

**XV. Course Policies: Student Expectations**

**Civility:** Describe how you want students to behave and treat each other. Example: “My commitment is to create a climate for learning characterized by respect for each other and the contributions each person makes to class. I ask that you make a similar commitment.” You may want to include information regarding the Campus Assessment, Response & Evaluation (CARE) Team which was created at the University of Colorado Denver | Anschutz campus to address the health and safety needs of students as well as the campus community. The purpose of the team is to assess whether individuals pose a risk to themselves or others and to intervene when necessary, and more generally, to identify and provide assistance to those in need. The team takes a preventative approach to risk assessment by offering resources, referrals, and support to both the concerning individual and those impacted by their behavior. 

http://www.ucdenver.edu/CARE

**Professionalism:** Offer specifics about your policy on professionalism, Example: Mobile devices must be silenced during all classroom and lab meetings. Those not heeding this rule will be asked to leave the classroom/lab immediately so as to not disrupt the learning environment.
Late Arrivals: State your policy on late arrivals (and early departures, if applicable). If the ramifications of late arrivals influence grades, then this policy should be included with your grading policies.

Auraria Library: If you engage students in research, consider including the use of the Auraria Library services, research databases and collections, and librarian expertise as one of your expectations. Include name of specific librarian contact and/or library subject expert web page http://library.auraria.edu/services/researchhelp/specialists.

Writing Center: If you engage students in writing, consider including the use of the Writing Center as one of your expectations.
http://www.ucdenver.edu/academics/colleges/CLAS/Centers/writing/Pages/TheWritingCenter.aspx

Religious Observances: Indicate how you want students to inform you of conflicts between the normal class schedule and major religious observances, and if you expect them to notify you in advance if they intend to miss class to observe a holy day of their religious faith.

University Policies

XVI. Access

Disability Access: Offer specifics about the university’s policy on disability access.
Example:
The University of Colorado Denver is committed to providing reasonable accommodation and access to programs and services to persons with disabilities. Students with disabilities who want academic accommodations must register with Disability Resources and Services (DRS) in North Classroom 2514, Phone: 303-556-3450, TTY: 303-556-4766, Fax: 303-556-4771. I will be happy to provide approved accommodations, once you provide me with a copy of DRS’s letter. [DRS requires students to provide current and adequate documentation of their disabilities. Once a student has registered with DRS, DRS will review the documentation and assess the student’s request for academic accommodations in light of the documentation. DRS will then provide the student with a letter indicating which academic accommodations have been approved.]

XVII. Encouraging Academic Honesty

Student Code of Conduct: Students are expected to know, understand, and comply with the ethical standards of the university, including rules against plagiarism, cheating, fabrication and falsification, multiple submissions, misuse of academic materials, and complicity in academic dishonesty. For suggestions on ways to promote academic honesty, refer students to the Code of Conduct handbook. http://www.ucdenver.edu/life/services/standards/students/pages/default.aspx

You may also want to include specific statements regarding plagiarism, cheating, etc. For examples:

Plagiarism is the use of another person’s ideas or words without acknowledgement. The incorporation of another person’s work into yours requires appropriate identification and acknowledgement. Examples of plagiarism when the source is not noted include: word-for-word copying of another person’s ideas or words; the “mosaic” (interspersing your own words here and there while, in essence, copying another’s work); the paraphrase (the rewriting of another’s work,
while still using their basic ideas or theories); fabrication (inventing or counterfeiting sources); submission of another’s work as your own; and neglecting quotation marks when including direct quotes, even on material that is otherwise acknowledge.

Cheating involves the possession, communication, or use of information, materials, notes, study aids, or other devices and rubrics not specifically authorized by the course instructor in any academic exercise, or unauthorized communication with any other person during an academic exercise. Examples of cheating include: copying from another’s work or receiving unauthorized assistance from another; using a calculator, computer, or the internet when its use has been precluded; collaborating with another or others without the consent of the instructor; submitting another’s work as one’s own.

Fabrication involves inventing or counterfeiting information—creating results not properly obtained through study or laboratory experiment. Falsification involves deliberate alteration or changing of results to suit one’s needs in an experiment or academic exercise.

Multiple submissions involves submitting academic work in a current course when academic credit for the work was previously earned in another course, when such submission is made without the current course instructor’s authorization.

Misuse of academic materials includes: theft/ destruction of library or reference materials or computer programs; theft/ destruction of another student’s notes or materials; unauthorized possession of another student’s notes or materials; theft/ destruction of examinations, papers, or assignments; unauthorized assistance in locating/ using sources of information when forbidden or not authorized by the instructor; unauthorized possession, disposition, or use of examinations or answer keys; unauthorized alteration, forgery, fabrication, or falsification of academic records; unauthorized sale or purchase of examinations, papers, or assignments.

Complicity in academic dishonesty involves knowingly contributing to or cooperating with another’s act(s) of academic dishonesty.

XVIII. Important Dates to Remember

Academic Calendar: Provide a link to the university’s current Academic Calendar so students can track the deadlines for withdrawing from the course and so on.
Appendix B:

A Faculty Guide to Working with Distressed Students

Your Role:
As a faculty member you are in a position to interact with students on a daily basis and often times form close relationships with students working in your major area of study. Given your on-going contact with students you are often the first to notice behavior changes or signs of distress. Your willingness to address a situation is often the encouragement a student needs to seek resources and assistance. In many cases a student’s success may depend on early detection and intervention. **Behaviors that must be reported to the CARE team, per University of Colorado Denver policies are marked with an asterisk**.

Signs of Distress (included but not limited to)
- Threatening words or actions*  
- Verbal or written threats to harm self or others*  
- Substance abuse  
- Confusion  
- Social Isolation  
- Increased irritability  
- Dangerous or risky behavior  
- Increased or noticeable restlessness  
- Deterioration in personal hygiene / appearance  
- Frequent or excessive missed classes or assignments

Indicators of Urgent Professional Assessment
- Fear of losing control and possibly harming someone*  
- Fear for her/ his life or the life of someone they know*  
- Sexual assault (must be reported to Title IX)*  
- Physical Assault  
- Suicidal thoughts, gestures or attempts

Do: Call the Counseling Center at 303-556-4372 and **immediately** follow up with the CARE team by submitting a report at ucdenver.edu/CARE.

Some situations clearly call for a basic safety intervention first:
- Imminent physical threat*  
- Loss of consciousness  
- Obvious intoxication  
- Significantly disruptive behavior  
- Evidence of acute self-injury

Do: Call 911 from a campus phone or 303-556-5000 from your cell phone to access AHEC Police. Follow up all referrals to police with the CARE team by submitting a report at ucdenver.edu/CARE.

Guidelines for Interacting with Distressed Students
Anytime you are dealing with students in crisis situations, actively listen and convey your concern and willingness to help. When you communicate with a student in distress be direct, honest, clear and concise. When interacting with distressed students:
- Know your limits and the limitations of your role, you are not expected to act as a counselor or mental health professional  
- Give the student time to talk about their situation, just listen  
- If you are concerned about your safety in a 1:1 setting with the student, invite an appropriate person along to meet with you and the student (such as a department chair, Associate Dean, case manager, or other)  
- Use a calm voice when talking with the student, even if their voice is raised or excitable  
- When meeting with the student, briefly acknowledge your observations and perceptions of their situation and express your concerns directly and honestly  
- Strange and inappropriate behavior should not be ignored, comment directly on what you have observed and share your concerns with the CARE team

Report all concerning behaviors to the CARE team at ucdenver.edu/CARE.
To request a presentation or additional information please email: shareaconcern@ucdenver.edu
Appendix C:

A Faculty Guide to
Managing Disruptive Behavior in the Classroom

What is Disruptive Behavior?
Generally, disruptive behavior is any behavior that interferes with the instructor’s ability to conduct the class, or the ability of other students to profit from the instruction. Such behavior may take various forms, and can be dependent upon many factors including class size, subject matter, and the relationship between faculty and students. Behaviors that must be reported to the CARE team, per University of Colorado Denver policies are marked with an asterisk*.

Examples may include:

• Persistent speaking without permission or interrupting other speakers
• Inappropriate use of electronic devices and cell phones
• Sleeping in class
• Entering class late or leaving early (without permission)
• Threats of any kind and/or harassment*

Preventative Measures Faculty Can Take

• Include specific expectations for, and consequences of classroom behavior (cell phones, tardiness, side conversations, etc.) in your syllabus
• Use the first class meeting to set expectations for classroom behavior
• Confer with colleagues on how they prevent and address disruptive behavior
• Model the behavior that you expect of students
• Become familiar with the Code of Student Conduct and other resources
• Address concern immediately, do not wait for patterns to emerge

When you talk privately with the student....

• Be specific about the behavior that is disruptive. Give examples.
• Explain the affect that the behavior has on your ability to teach and the class’s ability to learn.
• Communicate your concern and express clearly how the student can correct or change the behavior to meet classroom expectations.
• Allow the student to respond. Listen carefully to what they have to say.
• Restate your expectations for the student’s future behavior and the consequence should these expectations not be met (referral to Community Standards, being asked to leave the class, etc.).
• Follow-up with the student in writing via email to summarize the meeting and expectations. This email can be shared with your Chair and/or Community Standards and Wellness.

Steps for Responding to Disruptive Behavior

1. Clearly articulate classroom expectations concerning behavior and participation
2. Inform the Student that expectations are not being met and that the behaviors are disrupting the learning and teaching taking place in the class
3. Document the concerns in writing and communicate with the Student, Department Chair, Community Standards and/or Associate Dean. Submit a Student of Concern report online at: www.ucdenver.edu/csw
4. Give the Student an opportunity to address/correct the behavior
5. Hold Student accountable for failure to correct behavior and ask them to leave the class if the behavior continues. (Any time a student is asked to leave a class because of behavior please communicate this in writing to the Office of Community Standards and wellness and your Department Chair or Associate Dean)
6. Student Conduct Action

Reportng Disruptive Behavior

If there are ever any immediate concerns about health or safety please call 911 from a campus phone or 303-556-5000 from your cell phone to access AHEC Police. Follow up all referrals to police with the CARE team by calling 303-352-3579.

For general concerns and disruptions please submit the Student of Concern form online at: www.ucdenver.edu/csw or call the Office of Community Standards and Wellness at 303-556-2444.

Your Rights and Responsibilities as a Faculty Member

CU Denver respects the right of instructors to teach and the right of students to learn. Protection of these rights requires that certain classroom conditions be met. To ensure these rights, faculty members have the prerogative:

• To establish and implement academic standards
• To establish and enforce reasonable behavior standards in each class
• To involve appropriate offices when a classroom disruption arises
• To address students on problematic behavior and articulate expectations
• To make a determination about an appropriate response or outcome, within the scope of the class, excluding permanent removal or withdrawal from class
• To document any incidents and outcomes in writing and with the appropriate offices

Adapted in part from Kalamazoo College
Appendix D:

**Scholarship of Teaching & Learning**

A framework for scholarly teaching and the scholarship of teaching as described by Boyer (1990) and others (e.g., Westin and McAlpine, 2001) is a helpful way think about, and structure, one’s portrayal of teaching. The continuum below provides examples of activities related to being a scholarly teacher to one who engages in the Scholarship of Teaching and Learning (SoTL).

**Continuum of Growth Towards the Scholarship of Teaching**

<table>
<thead>
<tr>
<th>Phase One: Growth in one’s teaching</th>
<th>Phase Two: Dialog with colleagues about teaching and learning</th>
<th>Phase Three: Growth in scholarship of teaching and learning</th>
</tr>
</thead>
<tbody>
<tr>
<td>Develop personal knowledge about their own teaching and their students' learning</td>
<td>Develop and exchange knowledge about teaching and learning in their discipline</td>
<td>Develop scholarly knowledge about teaching and learning that has significance and impact for the institution and the field</td>
</tr>
<tr>
<td>• Reflect on teaching</td>
<td>• Engage colleagues in the discipline in conversations that make explicit their pedagogical content knowledge</td>
<td>• Draw on literature and research on teaching to inform institution and field</td>
</tr>
<tr>
<td>• Engage in institutional teaching development activities</td>
<td>• Mentor other teachers in the discipline</td>
<td>• Publish and make presentations about teaching (may or may not be based on research)</td>
</tr>
<tr>
<td>• Engage in innovation in teaching</td>
<td>• Provide leadership in teaching at disciplinary level (for example, organize events for department faculty)</td>
<td>• Obtain finding for research on teaching</td>
</tr>
<tr>
<td>• Intentionally evaluate teaching to make improvements</td>
<td>• Engage in disciplinary and multidisciplinary teaching associations</td>
<td>• Carry out research on teaching using an approach to inquiry consistent with understanding teaching and learning</td>
</tr>
<tr>
<td>• Reading about teaching and learning</td>
<td>• Grow in understanding of the complexity of teaching and learning</td>
<td>• Publish and make presentations about research on teaching</td>
</tr>
<tr>
<td>• Can understand and describe principles underlying teaching and learning decision</td>
<td></td>
<td>• Have a comprehensive knowledge of the research and literature on teaching and learning</td>
</tr>
<tr>
<td>• Can demonstrate the validity of knowledge of teaching they hold through assessment by others, including students, peers and administrators.</td>
<td></td>
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</tbody>
</table>
Appendix E:

Services of the Office of Research Development and Education (ORDE)

The CU Denver | Anschutz Office of Research Development and Education (ORDE), a component of the Office of the Vice Chancellor for Research, provides services to faculty designed to increase the university’s competitiveness in terms of receipt of external funding from federal, state and private sources. Serving faculty on the Anschutz Medical and Denver Campuses, ORDE provides funding and proposal development assistance.

ORDE Services:

Funding Source Location:
- Available to answer your questions on fund searching and proposal development – by telephone, e-mail and in-person
- Perform a personalized fund search for you
- Provide targeted funding announcements to you based on your interests
- Strategize with you about:
  - Potential funding agencies
  - Contacting program officers
  - Elements of proposal development
  - Funding sustainability

Resources:
- Keep you informed through our website about current proposal development information, agency insights and funding opportunities
- Bring you details about agency policy updates and funding proposals, upcoming ORDE seminars and website additions
- Develop and offer resources to increase your proposal development expertise
- Provide university-wide subscription to helpful grant-related newsletter and funding database (Grant Advisor Plus)
- Inform you of useful research-related databases and reports as they become available

Programs:
- Develop and offer a Faculty Seminar Series designed to increase your funding likelihood
- Provide assistance through our New Investigator Program

Please contact ORDE to register research interests, inquire about upcoming educational offerings and schedule individual appointments:

Lynette Michael, Director
303-315-5822
lynette.michael@ucdenver.edu

Naomi Nishi, Assistant Director
303-315-5825
naomi.nishi@ucdenver.edu

http://ucdenver.edu/ORDE
Appendix F:

Curriculum Vitae Format

Note: All entries should be listed in reverse chronological order. Also, be sure to include page numbers.

Name

Campus Address

Home Address

Education

Date, degree, institution, subject.

Professional Experience

Awards/Honors

Peer Reviewed Publications, Exhibitions, Performances, etc.

Categories will depend on discipline. Exhibitions and performances included in this section should only be those where peer review or screening was involved.

Peer Reviewed Books and Book Chapters

Peer Reviewed Book Reviews

Grants

Grants applied for, (include funded and unfunded) with funding agencies, dates, and amounts requested; amounts funded

Other Indicators of Scholarly and Creative Activity

Other indicators (both internal and external) of the quality of your scholarly and creative activity: contracts, support received; citations of papers; reviews of your works; purchases of your works by museums; etc.

Non-Peer Reviewed Publications, Exhibitions, Performances, etc.

Meeting abstracts, proceedings, etc., technical reports, popular articles, or other galleries and performances.

Non-Peer Reviewed Books and Book Chapters

Non-Peer Reviewed Book Reviews

Peer Reviewed Presentations at Meetings/Conferences
Non-Peer Reviewed Presentations at Meetings/Conferences

Seminars/Workshops Presented

Professional Organizations

Memberships and offices held in professional societies and associations.

Publications/Creative Works in Preparation

Indicate whether papers are in press, under revision, under review, or being written. Cite journal, etc., when appropriate. Indicate dates of scheduled galleries and performances.

Courses Taught

Alphabetical listing of courses taught with course number, (without dates or specification of number of times taught).

Service

Department, college/school/Library, Auraria Campus, CU Denver other campus, and university committees and activities, including faculty governance; state and national government agencies; accreditation and program review site visits; committees of professional societies or associations; session chair at professional meetings; consulting without remuneration.

N.B. Service activities for which you are separately compensated must be acknowledged. Also, you must conform to the University of Colorado Board of Regent’s policy about compensation for consultative services.
### Sample Summary Table of Courses Taught and FCQ Ratings

<table>
<thead>
<tr>
<th>Course Number</th>
<th>Course Title</th>
<th>Under-Graduate: (UG)</th>
<th>New Prep: (NP)</th>
<th>Prev. Taught # of times: (PT-X)</th>
<th>Co-taught: (CT)</th>
<th>Course Format:</th>
<th>Number of Students: Census: (a)</th>
<th>Finals Week: (b)</th>
<th>FCQ Course Rating: (6 pt scale)</th>
<th>FCQ Instructor Rating: (6 pt scale)</th>
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<tr>
<td>FALL 20XX</td>
<td></td>
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<td>FINE 1100</td>
<td>Drawing Foundations</td>
<td>UG</td>
<td>PT-1</td>
<td>S</td>
<td>SA</td>
<td>15a/13b</td>
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<td>SA</td>
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<td>Senior Art Seminar</td>
<td>UG</td>
<td>NP</td>
<td>CT</td>
<td>S</td>
<td>15a/15b</td>
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<tr>
<td>FINE 4000</td>
<td>Advanced Drawing</td>
<td>UG</td>
<td>NP</td>
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<td>SA</td>
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<td>PT-1</td>
<td>S</td>
<td>S</td>
<td>18a/18b</td>
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<td>FINE 2000</td>
<td>Life Drawing</td>
<td>UG</td>
<td>NP</td>
<td>S</td>
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<td>Senior Art Seminar</td>
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<td>PT-2</td>
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<td>Independent Study</td>
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<td>2-D Design</td>
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<td>NP</td>
<td>S</td>
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</table>

**Overall Average FCQ Ratings:** 5.84 5.55

### KEY: Course Type

- **L** (Lecture)
- **LL** (Lecture/Lab)
- **ML** (Main Lab)
- **S** (Seminar)
- **SA** (Studio: Art)
- **SM** (Studio: Music)
- **PI** (Private Instruction)
- **FI** (Field Instruction)
- **P** (Practicum)
- **CE** (Cooperative Education)
- **TS** (Travel Study)
- **MT** (Master's Thesis)
- **DD** (Doctoral Dissertation)
- **R** (Research)
- **IS** (Independent Study)
- **HY** (Hybrid)
- **OL** (Online)
Appendix H:

**Dossier Preparation and Candidate Responsibilities**

1. **General**
   a. Candidates for reappointment, tenure, or promotion are responsible for ensuring that the material in the dossier:
      
      (1) is NOT contained in plastic sleeves;
      
      (2) is submitted in the order specified;
      
      (3) is separately tabbed with text, not alpha or numeric, labels that are well-secured in their plastic tabs;
      
      (4) is complete, accurate, and properly organized in the teaching, research/creative work, and service sections; and
   
   b. While the candidate is expected to provide information about his or her entire career, evaluations focus on activities since the date of the last appointment, reappointment, tenure, or promotion.
   
   c. The dossier may be presented in no more than three (3), three-ring binders. If a candidate submits multiple binders, the case for reappointment, tenure, and promotion must be made in binder #1, with supporting materials in the remaining binder(s).

2. **Dossier**
   a. **Current Curriculum Vitae.** The curriculum vitae must be current to the date of submission and must follow the format provided in Appendix D. Primary units shall not propose, require, or use different curriculum vitae formats.
   
   b. **Summary Statement.** This is a two-to-three-page summary overview of the candidate’s entire record, dossier, and plans for the future, including responses to any suggestions and/or recommendations made in prior RTP reviews.
   
   c. **Teaching.** Material related to teaching includes a statement of teaching philosophy and changes in teaching methods over the years, a summary table report on the history of courses taught and the number of students in classes (see Appendix F), work with students outside the classroom, methods used to review teaching, grading practices, a summary of the student evaluations, and a response to the evaluation data. A summary of the average overall course and instructor ratings, from the Faculty Course Questionnaires (FCQ) should be included. Actual FCQs for at least the most recent three (3) years must be provided in a separate binder. If a selection of actual FCQs is provided, the means of selection must be described.
   
   d. **Librarianship.** Library faculty include materials related to the practice of librarianship and work with students in that context (see Appendix I, page 43).
e. **Research and Creative Work.** Material related to research and creative work includes a statement describing the focus of the candidate’s research/creative work to date, anticipated future directions, and information related to publications, performances, galleries, grants, and related research, scholarly and other creative activity. Where the candidate has co-authors, the candidate must explain the role of each co-author and the meaning of the order of co-authors’ names. For refereed journals, some indication should be given about the level of the journal, e.g., the reputation of the journal; the circulation rate of the journal; the acceptance and rejection rates (include the number of submissions) for the journal, etc. Similarly, for creative work (performances or exhibitions), an attempt must be made to evaluate the venue. Creative work, like scholarship, must be peer-reviewed. Where the candidate has received support for research/creative activities (e.g., been granted course releases, bought out of teaching courses, or received separate compensation for the creation or preparation of a commercial product), that information must be disclosed and explained in detail.

f. **Service.** Material related to service includes all significant professional service to the university, city, state, region, nation, and to professional associations. An explanation must be provided for any separately compensated service.

3. **Color of Dossier**

   Colleges, schools, and the library provide each candidate with up to three, three-ring binders, color-coded as follows:

   - College of Architecture and Planning: Gray
   - College of Arts & Media: Royal blue
   - Auraria Library: White
   - Business School: Maroon
   - School of Education and Human Development: Pale blue
   - College of Engineering and Applied Science: Red
   - College of Liberal Arts and Sciences: Green
   - School of Public Affairs: Black

4. **Content of Dossier**

   a. **Organization.** Dossiers are organized with dividers into specific sections, with each section containing the necessary relevant information. Omitted sections or sections without documentation are detrimental to the candidate.

   b. **Limitation.** Dossiers are limited to three (3), three-ring binders. The first binder contains all essential information. Supporting documentation, such as actual FCQ’s, must be submitted in separate binders. Sample dossiers are available for review in the Center for Faculty Development.

   c. **Presentation of material.** Material in the dossier must be presented in an easily readable form without having to be removed from the dossier. Material is not to be presented in three-hole punched plastic sleeves.

   d. **Labeling of material.** Each dossier must be separately tabbed by section with text, not alpha or numeric labels.
5. *Order of Material in Dossier*

Each dossier must contain the following material in the order listed below. If material is omitted or is not in the order specified, the Office of the Provost will return the dossier to the dean’s office without referring it to the VCAC Committee. *All items in italics are the responsibility of the school/college dean’s office.*

1. *Dossier Checklist*

2. *Form UCD-7*

3. *Primary unit criteria*

4. *All previous RTP and personnel action letters for the candidate*

5. *Primary unit’s evaluation, vote, and recommendation*
   
   *If vote not unanimous, explanation and possible minority report*

6. *Department chair’s evaluation and recommendation (if a departmental structure exists)*

7. *First level review/dean’s advisory committee evaluation, vote, and recommendation*
   
   *If vote not unanimous, explanation and possible minority report*

8. *Dean’s evaluation and recommendation*

9. *Candidate’s summary statement and Professional Plan*

10. *Candidate’s curriculum vitae* (in the required format contained in Appendix D), prominently tabbed

11. *Letters from external evaluators*

    *Summary table of reviewers contacted, with information on each, and indicating which were recommended by candidate, which by the primary unit, and which reviewers provided letters*

    *Explanation of how reviewers were chosen*

    *Explanation if total number of reviewers fails to meet requirements*

    *Explanation if ratio of candidate’s to primary unit’s fails to meet requirements*

    *Explanation of lack of geographical diversity, if applicable.*

12. *Teaching or Librarianship Committee or subcommittee report*

    *Candidate’s statement*

    *Summary table of all courses taught; numbers of students enrolled in each course; the course and instructor ratings for each course from the FCQs (see Appendix G); and the overall averages of course and instructor ratings*

    *Other evaluative data (student letters, other)*

    *Peer reviews (classroom observations)*

    *Supporting material in a separate binder: FCQs (including student comments) in a separate binder Sample syllabi*
(12) Research and Creative Work

Committee or subcommittee report
Candidate’s statement
Clarification about the types of journals or venues in which the candidate’s work has been
published, performed, or displayed (refereed vs. non-refereed), the role of the candidate in
multiple-authored/created works, and information about the quality of the venues in which
the work has appeared (e.g., journal or gallery ratings)
Evaluative data (summary of publications, grants, awards, etc.)
Supporting material in a separate binder

(13) Service

Committee or subcommittee report
Candidate’s statement (including an explanation about any additional compensation received
for service activities)
Supporting material in a separate binder

e. Supporting material. Candidates are entitled to submit to the primary unit any relevant material
or information that may be helpful in evaluating the candidate for reappointment, promotion, and/or
tenure. Dossiers may be accompanied by supporting materials such as books, monographs, refereed
journal articles, photographs of works of art, etc. Supporting materials, if provided in separate
binders, accompany the dossier, but are not part of it.

6. Return of Dossier

Dossier and supporting documentation, except external reviewer’s letters, are returned to the candidate at
the end of the RTP process.
Appendix I:

**Dossier Checklist for Reappointment, Tenure, and Promotion**

Candidate’s Name:  __________________________________________________________________

| Action: | [ ] Reappointment | [ ] Comprehensive Review | [ ] Tenure | [ ] Promotion |

School/College:  ___________________________________________________________________________

A candidate’s dossier may be presented in no more than three (3), three-ring binders. If a candidate submits multiple binders, the case for reappointment, tenure, and promotion must be made in binder #1, with supporting materials in the remaining binder(s).

Items on this checklist are listed in their required order in binder #1.

- [ ] This checklist
- [ ] Form UCD-7
- [ ] Primary unit criteria
- [ ] Previous RTP and personnel actions, if any
- [ ] Primary unit recommendation
  - [ ] if vote not unanimous, explanation and minority report
- [ ] First level review/dean’s advisory committee review
  - [ ] if vote not unanimous, explanation and minority report
- [ ] Dean’s recommendation
- [ ] Summary statement by candidate
- [ ] Candidate’s current curriculum vitae
- [ ] External letters
  - [ ] Two (2) lists (candidate’s and primary unit’s), with indication of who responded
  - [ ] Explanation of how reviewers were chosen
  - [ ] Copy of the letter(s) sent to reviewers
- [ ] Total number meets requirements
- [ ] Ratio meets requirements
- [ ] Copies of external reviewers’ curriculum vitae

- [ ] Teaching
  - [ ] Subcommittee report
  - [ ] Candidate’s statement
  - [ ] Supporting material
    - [ ] FCQs
    - [ ] Peer review
    - [ ] Other
- [ ] Research/creative scholarly activities
  - [ ] Subcommittee report
  - [ ] Candidate’s statement
  - [ ] Supporting material
- [ ] Service
  - [ ] Subcommittee report
  - [ ] Candidate’s statement
  - [ ] Supporting material
- [ ] ALL signatures

I have reviewed this candidate’s dossier and affirm that it is comprised of _____ binders, is complete, and is consistent with university policy.

<table>
<thead>
<tr>
<th>Dean’s Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

ASA 12/1/07

RTP-11
Candidate’s Name: ____________________

Action:  [  ] Reappointment  [  ] Comprehensive Review  [  ] Tenure  [  ] Promotion

A candidate’s dossier may be presented in no more than three (3), three-ring binders. If a candidate submits multiple
binders, the case for reappointment, tenure, and promotion must be made in binder #1, with supporting materials in the
remaining binder(s).

Items on this checklist are listed in their required order in binder #1.

[  ] This checklist
[  ] Form UCD-7
[  ] Primary unit criteria
[  ] Previous RTP and personnel actions, if any
[  ] Primary unit recommendation
  [  ] if vote not unanimous, explanation and minority report
[  ] First level review/university librarian’s advisory committee review
  [  ] if vote not unanimous, explanation and minority report
[  ] University librarian’s recommendation
[  ] Summary statement by candidate
[  ] Candidate’s current curriculum vitae
[  ] External letters
  [  ] Two (2) lists (candidate’s and primary unit’s), with indication of who responded
[  ] Explanation of how reviewers were chosen
[  ] Copy of the letter(s) sent to reviewers
[  ] Total number meets requirements  [  ] explanation if requirement not met
[  ] Ratio meets requirements  [  ] explanation if requirement not met
[  ] Copies of external reviewers’ curriculum vitae
[  ] Practice of librarianship
  [  ] Subcommittee report
  [  ] Candidate’s statement
  [  ] Supporting material
    [  ] Evaluations  [  ] in separate binder
    [  ] Peer review  [  ] in separate binder
    [  ] Other  [  ] in separate binder
[  ] Research/creative scholarly activities
  [  ] Subcommittee report
  [  ] Candidate’s statement
  [  ] Supporting material  [  ] in separate binder
[  ] Service
  [  ] Subcommittee report
  [  ] Candidate’s statement
  [  ] Supporting material  [  ] in separate binder
[  ] ALL signatures

I have reviewed this candidate’s dossier and affirm that it is comprised of _____ binders, is complete, and is consistent
with university policy.

University Librarian’s Signature  Date

ASA 12/1/07  RTP-11
Appendix J:

University of Colorado Faculty Handbook

University of Colorado Faculty Handbook is available in an online version: https://www.cu.edu/content/faculty-handbook. It is the official handbook for faculty as it contains links to current Regent policy. It is updated regularly as the Regents adopt/revise/delete policies and as the University Central Administration adopts/revises/deletes administrative policy statements.

Other Important Links:

Center for Faculty Development: http://ucdenver.edu/facultydevelopment

Office of Research Administration:
http://www.ucdenver.edu/about/WhoWeAre/Chancellor/ViceChancellors/Research/Pages/default.aspx

Office of Research Development and Education (ORDE):
http://www.ucdenver.edu/academics/research/AboutUs/ORDE/Pages/orde.aspx

Multiple Means of Teaching Evaluation: https://www.cu.edu/policies/aps/academic/1009.html

The Professional Plan for Faculty: https://www.cu.edu/policies/aps/academic/1011.html

Denver Campus Reappointment, Tenure, and Promotion Policy:
http://www.ucdenver.edu/faculty_staff/employees/policies/Policies%20Library/OAA/RTP.pdf

University of Colorado Standards, Processes and Procedures for Appointment, Reappointment, Tenure and Promotion:
https://www.cu.edu/policies/aps/academic/1022.html

CU Denver Post-Tenure Review Campus Policy and Procedures:
http://www.ucdenver.edu/faculty_staff/faculty/faculty-affairs/policies-forms/Documents/PTR%20UC%20Denver%20policy.pdf

University of Colorado Post-Tenure Review Policy: https://www.cu.edu/policies/aps/academic/1003.pdf

Tenure Accountability: https://www.cu.edu/policies/aps/academic/1020.html

Faculty Processes, Policies and Forms:
http://www.ucdenver.edu/faculty_staff/faculty/faculty-affairs/policies-forms/Pages/default.aspx

Faculty Development and Mentoring: https://www.cu.edu/policies/aps/academic/1021.html

CU Denver Policies: http://www.ucdenver.edu/faculty_staff/employees/policies/Pages/default.aspx

Office of the Provost:
http://www.ucdenver.edu/about/WhoWeAre/Chancellor/ViceChancellors/Provost/Pages/default.aspx

Academic Affairs and Office of Faculty Affairs and Undergraduate Enrichment:
http://www.ucdenver.edu/about/WhoWeAre/Chancellor/ViceChancellors/Provost/executiveteam/facultyaffairs/Pages/default.aspx

CU Denver Student Attendance Policy:
http://www.ucdenver.edu/faculty_staff/employees/policies/Policies Library/OAA/StudentAttendance.pdf