Decision Tree for Application of Export Control Regulations

Does the contract restrict publication of research results beyond a brief review for patent or proprietary information disclosures?

- **NO**

Does the contract limit or prohibit foreign nationals from performing work or accessing research results?

- **NO**

Does the contract prohibit results or deliverables to any country or foreign persons?

- **NO**

Will any item or information used in the project be obtained from a 3rd party subject to a non-disclosure or confidentiality agreement?

- **NO**

- **YES**

(1) Will research be based outside the U.S.?
(2) Will any item(s) or information be shared, or hand carried internationally as part of the project?
(3) Will foreign nationals work on the project?

- **NO**

- **YES**

Are the items (commodity, software, technology, technical data, or equipment) or information to be shipped internally or used in the project listed on the USML or CCL? (i.e., is the item encryption software, or does it have actual or potential military, space, or WMD use?)

- **NO**

- **YES**

Please Contact the Export Compliance Officer for additional review and advice.

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No further review for an export license is needed at this time. HOWEVER, certain transactions are prohibited by federal government sanctions and embargoes. Foreign sponsors and international persons and entities with whom you will be collaborating or engaging in transactions should undergo a restricted party screening. Additionally, Cuba, Iran, North Korea, Sudan and Syria are particular countries of concern, as they are most comprehensively controlled.

Contact the Export Compliance Officer for assistance.