Project Funding and Spending Controls Implementation

Office of Grants and Contracts
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Agenda

- Current Structure
- Future Structure
- Project Status Codes
- Implementation Date
- Resources
- Questions
Current system configuration of Peoplesoft 9.2 allows payroll and non-payroll expenditures to bypass funding end date and project status validations. Expenditures are posted to the Project/Speed type at any time if the speed type is active.
current structure

- Overpayments
- Denial of Payment
- Higher fiscal risk due to unallowable/uncollectible expenditures.
- Federal agencies are shutting down ability to draw/bill after 90–120 days
- Additional requirements from Sponsors
CU is implementing a system configuration which will have an impact on campus business practices and will offer systematic control over expenditures incurred beyond the sponsored project end date.

- Impacts all campuses

- Includes sponsored projects (funds 30/31) and fund 35 AEF/DEF/Transformation grants
Future Structure Systems Impacted

- Human Capital Management (HCM)
- Concur
- CU Marketplace
- Payroll Expense Transfers (PETs)
Future Structure

The following changes will occur in HCM

- The funding distribution will require a funding end date for entry which cannot exceed the project end date.
- Payroll following the end date of a project will transition to suspense if a new distribution has not been entered.
- New payroll entries can no longer be submitted after the project end date.
HCM: Funding Entry New Distribution

Calculate the funding distribution
1. Enter an ACTIVE SpeedType
2. Enter the funding end date
3. Add or delete lines as needed
4. Enter the % distribution or budget amount
5. Total distribution equal to 100%
Future Structure

The following changes will occur for CU Marketplace

- All new requisitions/PO will be stopped when a project is in “Ended” status
- Non-personnel transactions will not post to an open purchase order when the project is in “Reporting” status
- PO must be closed/resolved in 60 days
President’s Office developing reports to share with departments on the monthly transactions that will have issues after 10/22/18 including

- HCM Funding Lines that will be charged to suspense
- Payroll Expense Transfer (PETS) that occur after the project end date
- Overall aging of PETS within the project period—high compliance risk if not transferred in a timely manner
Project Status Codes

- **S–Pre–Award**: Project/Speedtype is active to incur expenditures before the start of the funding period
- **O–Open**: Project/Speedtype is active to incur expenditures from the start of the project period to the end of the project period
- **E–Ended**: Project period +1 day; Ended Status confirms the funding period has ended and preparation for final reporting and closeout begin.
- **R–Reporting**: Project period +60 days; No additional payroll or non-payroll expenses should be added/removed from the project while final financial deliverables are prepared.
- **C–Closed**: The project/speedtype is inactivated and no other transactions may post or be removed.
- **H–Hold**: Used minimally–to indicate that the active project has been placed on a contract hold
Project Status Codes

Example “Ended” Status:
Project end date 6/30/2018 +1 day
Ended status = 7/1/2018

- Concur will allow Pcard and reimbursements to flow through in an “Ended” Status.
- Marketplace will not allow a new requisition
- Payroll dated after 6/30/18 will not be allowed
Project Status Codes

“Reporting” Status Example
Project End Date + 60 days
6/30/2018 + 60 Days = 8/30/2018

- No expenses will book in the following systems: Concur, Marketplace or HCM.
Implementation Date

October 22, 2018
What do I do if I’m waiting for my Project/Speedtype?

Answer: Speedtypes are set up within 7–10 business days for fully executed awards. Request a pre-award prior to the start date if you know there will be a delay.
Frequently Asked Questions

- Affiliate payments for hospital come 30 days after end date and a PO must be created at that time. What do we do?

Answer: An exception process will be created to ensure we can adequately pay these allowable costs.

- Industry Clinical Trials–No Cost Extensions can be processed
Resources

- OGC Listserve
- Sharon Grant—Campus Change Manager—scheduling meetings to obtain feedback/concerns from departments

- Available for meetings—please contact
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QUESTIONS